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(54) Title: DIAGNOSTIC TEST USING FC RECEPTOR (57) Abstract <p>The invention is a diagnostic method for determining a predisposition to severe forms of autoimmune disease in a patient by identifying the pattern of Fcγ receptor alleles encoded by a patient's DNA, comparing the pattern with a corresponding pattern of Fcγ alleles in a population with no autoimmune disease, mild autoimmune disease and severe autoimmune disease, and determining which of the corresponding patterns is most similar to the patient's allelic pattern. In particular, the method is for detecting a predisposition to severe forms of Wegener's disease by identifying the pattern of the FcγRIIB alleles.</p>		

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DIAGNOSTIC TEST USING FC RECEPTOR

This application is a continuation-in-part of U.S. patent application serial no. 08/298,077, filed August 30, 1994.

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Field of the Invention

This invention pertains to methods for assessing the relative susceptibility of human patient populations to autoimmune diseases in general, to severe forms of particular autoimmune diseases, and to infection by certain
15 encapsulated bacteria.

Background of the Invention

Fc receptors are membrane glycoproteins present on the surface of neutrophils, macrophages and other cell types, whose primary function is to bind
20 and internalize immunoglobulins and immune complexes. Three distinct families of human receptors for the Fc domain of immunoglobulin G (IgG) have been identified on the basis of reactivity with monoclonal antibodies, cellular distribution, and cDNA sequences: FcγRI, FcγRII, and FcγRIII. Within each of these three Fcγ receptor families, distinct genes and alternative splice variants
25 lead to a series of receptor isoforms that have striking differences in their extracellular, transmembrane, and intracellular regions. The salient features of the known classes of Fcγ receptors are compiled in Table 1.

Table 1

Structural Isoforms	Fcγ Receptor Families							
	FcγRI			FcγRII				
	FcγRIII							
Distinct genes	A	B	C	A	B	C	A	B
Splice variants		+	(+)	a1, a2	b1, b2, b3			
Allelic variants	(+)	(+)	(+)	HR/LR				NA1 NA2
Membrane anchor	TM	TM	(TM)	a1:TM a2:secreted	TM	T M	γ1η1ζ complex	GPI
Cell Distribution								
Neutrophils	(+)			-		(?)		-
Monocytes/MΦ	+			+	(-) - 5% of donors	(?)	+	
Lymphocytes					B cells	(?)	NK cells	

In addition to diversity based on distinct genes and their splice variants, different isoforms may also exhibit allelic polymorphisms. In several cases, the different alleles have been defined at the level of DNA sequence, and functional differences between the allelic forms have been noted. For example, the two recognized allelic forms of FcγRIIIB, NA1 and NA2, which differ by several amino acids and N-linked glycosylation sites, also differ in their capacity to mediate phagocytosis. In the case of FcγRIIA, the known allelic variants, HR ("high responder") and LR ("low responder"), which differ at amino acid position 131, differ substantially in their capacity to bind and internalize IgG2 (Salmon et al., 1992, *J. Clin. Invest.*, **89**:1274). (In fact, FcγRIIA-LR is the only human FcγR that recognizes IgG2 efficiently.) Finally, allelic variants of FcγRI have also been found, though the possible functional significance of these sequence variations is not yet clear. It is likely that more than two allelic forms exist for each Fcγ receptor gene.

Systemic lupus erythematosus (SLE) is a prototypic immune complex disease in which immune complexes, especially anti-DNA/DNA complexes, play an important role in pathogenesis. SLE-associated nephritis is characterized by high levels of anti-C1q autoantibodies, which are predominantly

of the IgG2 subclass. SLE and other autoimmune diseases are characterized by a marked decrease in Fc receptor-mediated clearance by the mononuclear phagocyte system, the severity of which correlates with disease activity. In SLE, Fc receptor-mediated clearance of IgG-sensitized autologous erythrocytes (EA) is impaired (Frank et al., 1979, *N. Engl. J. Med.*, 300:518). It is likely that abnormal FcγRIIA function provides one basis for the disease-related defects and abnormal handling of IgG2-containing immune complexes in SLE.

Wegener's Granulomatosis is a multisystem disease characterized by inflammatory lesions, particularly of the upper and lower respiratory tract. The disease is associated with the presence of an IgG antibody directed against cytoplasmic constituents of neutrophils and monocytes, termed ANCA (anti-neutrophil cytoplasmic antibodies). ANCA are capable of triggering Fcγ receptor-mediated activation of immune cells, suggesting that this phenomenon plays a role in the pathogenesis of the disease.

When a patient is diagnosed with an autoimmune disease such as SLE or Wegener's granulomatosis, the choice of appropriate therapeutic interventions would be considerably facilitated by prognostic indicators that predict the future severity of the disease. However, to date it has not been possible to make such predictions with any level of accuracy based on some objective diagnostic criterion. Thus, there is a need in the art for reliable diagnostic methods to identify patients with a higher probability of developing severe forms of autoimmune disease.

When humans are infected with encapsulated bacteria, such as *Haemophilus influenzae* and *Neisseria meningitidis*, the humoral immune response primarily involves production of specific IgG2 antibodies. Interestingly, Asian populations with a high frequency of FcγRIIA-LR have a very low incidence of *H. influenzae* infection. Conversely, among individuals with late complement component deficiencies, those homozygous for FcγRIIA-HR and FcγRIIB-NA2 alleles are most likely to have a history of *N. meningitidis* infection (Fijen et al., 1993, *Ann. Int. Med.*, 119:636). These observations suggest that individuals with a higher risk of developing certain bacterial infections can be identified by analysis of their FcγRIIA and FcγRIIB phenotypes.

Summary of the Invention

The present invention provides a diagnostic method for determining predisposition to severe forms of autoimmune disease in a patient, comprising identifying the pattern of Fcγ receptor alleles encoded by the patients' DNA; comparing the pattern with the corresponding patterns of Fcγ receptor alleles in populations with no autoimmune disease, mild autoimmune disease, and severe autoimmune disease; and determining which of the corresponding patterns is most similar to the patient's allelic pattern. In one embodiment, the present invention provides a diagnostic method for determining predisposition to severe forms of Wegener's granulomatosis, comprising identifying the pattern of FcγRIIIB alleles in patients with Wegener's. In another embodiment, patients suffering from Wegener's granulomatosis are screened for RIIIB and RIIA genotypes. Identification of receptor alleles may be achieved immunologically, by isolating blood cells that express particular Fcγ receptors on their cell surface, and contacting the cells with antibodies that distinguish between different allelic forms of the receptor. Alternatively, DNA is isolated from the patient, and the presence of particular Fcγ receptor alleles is determined using gene amplification, followed by DNA sequencing, hybridization with allele-specific oligonucleotides, or single-stranded conformational polymorphism analysis.

The present invention also provides a diagnostic method for determining predisposition to infection with encapsulated bacteria, including *Haemophilus influenzae*, *Neisseria meningitidis*, and *Streptococcus pneumoniae*, comprising identifying the pattern of FcγRIIA and FcγRIIIB alleles encoded in the patient's DNA.

Detailed Description of the Invention

All patent applications, patents, and literature references cited in this specification are hereby incorporated by reference in their entirety. In the case of inconsistencies, the present description, including definitions, will control.

Definitions:

1. "Allele" as used herein denotes an alternative version of a gene encoding the same functional protein but containing differences in its nucleotide

sequence relative to another version of the same gene.

2. "Allelic polymorphism" as used herein denotes a variation in the nucleotide sequence within a gene, wherein different individuals in the general population may express different variants of the gene.

5 3. "Allelic pattern" as used herein denotes the two alleles in a patient encoding a particular gene i.e. homozygosity for a particular allele, or heterozygosity encompassing two different alleles. The term "allelic pattern" is used interchangeably with "genotype".

4. "Severe" autoimmune disease as used herein is defined as
10 autoimmune disease encompassing clinical manifestations such as nephritis, vasculitis, or lung disease, or combinations thereof, that require aggressive treatment and may be associated with premature death.

5. "Amplification" of DNA as used herein denotes the use of polymerase chain reaction (PCR) to increase the concentration of a particular
15 DNA sequence within a mixture of DNA sequences. For a description of PCR see Saiki et al., 1988, *Science*, 239:487.

6. "Chemical sequencing" of DNA denotes methods such as that of Maxam and Gilbert (Maxam-Gilbert sequencing, Maxam and Gilbert, 1977, *Proc. Natl. Acad. Sci. USA*, 74:560), in which DNA is randomly cleaved using
20 individual base-specific reactions.

7. "Enzymatic sequencing" of DNA denotes methods such as that of Sanger (Sanger et al., 1977, *Proc. Natl. Acad. Sci. USA*, 74:5463), in which a single-stranded DNA is copied and randomly terminated using DNA polymerase.

25 The present invention provides a diagnostic method for screening patient populations to identify those individuals at risk for developing autoimmune disease in general, severe forms of particular autoimmune diseases, and infections caused by certain encapsulated bacteria. The method involves testing blood cells or DNA from individual patients for the presence of alternate
30 alleles of different classes of Fcγ receptor genes, so as to identify a characteristic allelic pattern or genotype for one or more Fcγ receptor genes. In general, an individual's Fcγ receptor allelic pattern is compared with the distribution of allelic patterns in different test populations. Depending upon

which Fcγ receptor forms are being analyzed, this screening can serve a variety of different diagnostic uses, which are described in more detail below.

The present invention also encompasses the identification of new allelic forms of Fcγ receptor genes, including FcγRI, RII, and RIII. Furthermore, the invention encompasses the establishment of statistically significant correlations, where they exist, between different allelic forms of Fcγ receptors (and allelic patterns formed by combinations of different alleles) and qualitative or quantitative aspects of particular autoimmune diseases e.g. the number, severity, and duration of symptoms, the need for medication or other ameliorative treatment, and the like.

The autoimmune diseases to which the methods of the present invention can be applied include without limitation systemic lupus erythematosus (SLE); systemic vasculitides such as Wegener's granulomatosis, polyarteritis nodosa, and cryoglobulinemic vasculitis; Sjogren's syndrome; mixed connective tissue disease; rheumatoid arthritis; and kidney diseases such as glomerulonephritis. The clinical manifestations of these diseases range from mild to severe.

Determination of Fcγ receptor genotypes according to the present invention may be performed in a susceptible population; alternatively, such testing can be performed after an initial diagnosis of autoimmune disease has been made. In this manner, different therapeutic interventions may be chosen for optimal long-term benefit. It will be understood that the particular Fcγ receptor allele that is screened for, the starting patient populations that are the targets of screening, and the test populations that provide the appropriate statistical database, will vary with the particular disease or syndrome. In one case, if a given Fcγ receptor allele is rare, but is found to be strongly associated with a particular syndrome, large-scale screening may be appropriate if early therapeutic intervention can reduce or ameliorate later development of symptoms. For example, if a patient is found to express an Fc receptor allele that is associated with increased risk of renal disease, the patient might be treated prophylactically with cyclophosphamide before substantial kidney damage has accumulated. Alternatively, a given Fcγ receptor allele may be common in the general population, and thus not be suitable for random

screening. The same allele, however, when found in a patient suffering from a particular disease or syndrome, correlates with the subsequent development of more severe manifestations of the disease. In this case, identification of a patient's Fcγ receptor genotype according to the present invention is performed
5 after an initial diagnosis of the disease.

Susceptibility to infection by encapsulated bacteria has been shown to be influenced by an individual's Fcγ receptor repertoire, in particular the presence of particular allelic forms of FcγRIIA. The infectious agents to which the methods of the present invention may be applied include without limitation
10 *Haemophilus influenzae*, *Neisseria meningitidis*, *Streptococcus pneumoniae*, and other encapsulated bacteria. It is contemplated that identification of individuals homozygous for the FcγRIIA-HR allele will target these individuals for immunization against these infections. Furthermore, these individuals could be targeted for booster immunizations to insure that they achieve and maintain high
15 levels of protective antibodies against these organisms.

In practicing the present invention, the presence of different Fcγ receptor alleles in an individual patient is determined by either: 1) immunological detection of the Fcγ receptor isoform itself present on the surface of appropriate immune cells ("phenotypic characterization"); or 2) molecular detection of the
20 DNA or RNA encoding the Fcγ receptor isoform using nucleic acid probes, with or without nucleic acid sequencing ("genotypic characterization"). In the first embodiment, white blood cells are isolated from a patient to be tested for susceptibility to infection or severity of disease using methods that are standard and well known in the art e.g. gradient centrifugation or immunoadsorption (see
25 Example 1 below). Antibodies that are capable of distinguishing between different allelic forms of a particular Fcγ receptor are then applied to the isolated cells to determine the presence and relative amount of each allelic form. The antibodies may be polyclonal or monoclonal, preferably monoclonal. Measurement of specific antibody binding to cells may be accomplished by any
30 known method e.g. quantitative flow cytometry, or enzyme-linked or fluorescence-linked immunoassay. As detailed below for the analysis of FcγRIIA genotypes, the presence or absence of a particular allele, as well as the allelic pattern (i.e. homozygosity vs. heterozygosity) is determined by comparing the

values obtained from a patient with norms established from populations patients of known genotypes.

In an alternate embodiment, DNA is obtained from a patient, and the presence of DNA sequences corresponding to particular Fcγ receptor alleles is determined. The DNA may be obtained from any cell source or body fluid. Non-limiting examples of cell sources available in clinical practice include blood cells, buccal cells, cervicovaginal cells, epithelial cells from urine, fetal cells, or any cells present in tissue obtained by biopsy. Body fluids include blood, urine, cerebrospinal fluid, and tissue exudates at the site of infection or inflammation. DNA is extracted from the cell source or body fluid using any of the numerous methods that are standard in the art. It will be understood that the particular method used to extract DNA will depend on the nature of the source. The minimum amount of DNA to be extracted for use in the present invention is about 25 pg (corresponding to about 5 cell equivalents of a genome size of 4×10^9 base pairs).

Once extracted, the DNA may be employed in the present invention without further manipulation. Alternatively, the DNA region corresponding to all or part of a Fcγ receptor gene may be amplified by PCR. In this case, the amplified regions are specified by the choice of particular flanking sequences for use as primers. Amplification at this step provides the advantage of increasing the concentration of Fcγ receptor DNA sequences. The length of DNA sequence that can be amplified ranges from 80 bp to up to 30 kbp (Saiki et al., 1988, *Science*, 239:487). Preferably, primers are used that define a relatively short segment containing sequences that differ between different allelic forms of the receptor.

The presence of Fcγ receptor allele-specific DNA sequences may be determined by any known method, including without limitation direct DNA sequencing, hybridization with allele-specific oligonucleotides, and single-stranded conformational polymorphism (SSCP). Direct sequencing may be accomplished by chemical sequencing, using the Maxam-Gilbert method, or by enzymatic sequencing, using the Sanger method. In the latter case, specific oligonucleotides are synthesized using standard methods and used as primers for the dideoxynucleotide sequencing reaction.

Preferably, DNA from a patient is subjected to amplification by polymerase chain reaction (PCR) using specific amplification primers, followed by hybridization with allele-specific oligonucleotides. Alternatively, SSCP analysis of the amplified DNA regions may be used to determine the allelic pattern.

In an alternate embodiment, RNA is isolated from blood cells, using standard methods well known to those of ordinary skill in the art such as guanidiumthiocyanate-phenol-chloroform extraction (Chomocyznski et al., 1987, *Anal. Biochem.*, 162:156.) The isolated RNA is then subjected to coupled reverse transcription and amplification by polymerase chain reaction (RT-PCR), using specific oligonucleotide primers. Conditions for primer annealing are chosen to ensure specific reverse transcription and amplification; thus, the appearance of an amplification product is diagnostic of the presence of one or both alleles. In another embodiment, RNA encoding Fc γ receptors is reverse-transcribed and amplified, after which the amplified Fc γ receptor-encoding cDNA is identified by hybridization to allele-specific oligonucleotides.

The present invention also encompasses the identification and analysis of new alleles of Fc γ receptor genes that may be associated with autoimmune diseases and other defects in IgG2-containing immune complex metabolism. In this embodiment, RNA encoding Fc γ receptors is selectively reverse-transcribed and amplified as described above. The DNA product is then sequenced directly, and the sequence compared with the sequence of the known alleles of the gene of interest. Once a new allele has been identified, monoclonal antibodies specific to the protein encoded by the new allele can be prepared by standard methods. These antibodies can then be used for screening of patient populations as described above.

In practicing the present invention, the distribution of Fc receptor allelic patterns in a large number (several hundred) patients with a particular autoimmune disease is determined by any of the methods described above, and compared with the distribution of Fc receptor allelic patterns in control (i.e., healthy) patients that have been matched for age and ethnic origin. A statistical method such as a 2x3 Chi square test is then used to determine whether the allele frequencies in the disease and normal groups are the same or different.

In the case of SLE, the frequencies of the HR and LR alleles of FcγRIIA, and the NA1 and NA2 alleles of FcγRIIB are tested in SLE patients and in normal populations. In the same patient cohort, the patient population is stratified by clinical manifestations. For example, SLE patients with nephritis and SLE patients without nephritis are compared for allele frequency. Finally, multiplex SLE families (i.e., families with more than one member with SLE) are studied to determine if the clinical marker (i.e. the presence of SLE) segregates with particular Fcγ receptor alleles.

In this manner, it is possible to obtain statistically significant correlations between a given pathological syndrome and previously known or novel Fcγ receptor alleles. It is contemplated that correlations between particular Fcγ receptor genotypes and particular diseases will provide an important prognosticator of disease susceptibility and clinical outcome. For example, there is a statistically significant correlation between the presence of FcγRIIA-HR homozygosity and the incidence of SLE in African-Americans (see Example 4 below.) Similarly, there is a statistically significant correlation between FcγRIIA-HR and renal disease in Caucasian SLE patients. In like manner, other Fcγ receptor genes may be used as predictive diagnostic indicators for SLE or other autoimmune diseases.

In one embodiment of the present invention, the DNA of patients with SLE is tested for the presence of the LR and HR alleles of the gene encoding FcγRIIA. In one approach, white blood cells e.g. neutrophils and monocytes are subjected to quantitative flow cytometry using, for example, monoclonal antibody (Mab) 41H.16, which recognizes the HR allele of human FcγRIIA, and Mab IV.3, which recognizes both HR and LR alleles (See Example 1 below). The ratio of fluorescence intensity of Mab 41H.16 and Mab IV.3 is measured, and compared with the values obtained from normalized groups of patients with known FcγRIIA phenotypes (Salmon et al., 1992, *J. Clin. Invest.*, 89:1274).

Any HR- or LR-specific monoclonal or polyclonal antibodies, as well as antibodies that recognize both HR and LR allelic forms of FcγRIIA, may be used in practicing the present invention. As described in Example 1 below, specific binding of a given antibody to blood cells is first tested in groups of

patients with known FcγRIIA phenotypes, allowing the establishment of ranges of binding values for each antibody, and/or ratios of binding values for different antibodies, that correspond to HR homozygosity, LR homozygosity, or HR/LR heterozygosity. It will be understood by those of ordinary skill in the art that binding values, and ratios of binding values, are dependent on the particular method used to detect binding and must be normalized accordingly.

In an alternate approach, DNA is obtained from a patient suffering from SLE, and the presence of DNA sequences corresponding to the HR and LR alleles of FcγRIIA is determined. Preferably, primers are used to specifically amplify a sequence corresponding to amino acid residues 121-170 of the FcγRIIA protein sequence. The amplified product is then subjected to hybridization with allele-specific oligonucleotides, direct DNA sequencing, or SSCP (see Examples 2 and 3 below).

In a preferred embodiment of the present invention, the DNA of patients with Wegener's granulomatosis is tested for the presence of the NA1 and NA2 alleles of the gene encoding FcγRIIIB. Most preferably, oligonucleotide primers are used to specifically amplify a sequence containing the polymorphic sites in Exon 3 of the RIIIB genomic sequence. The amplified product is then subjected to hybridization with allele-specific oligonucleotides, direct DNA sequencing, or SSCP (see Example 6 below).

The following working examples are intended to serve as non-limiting illustrations of the present invention.

Example 1: Determination of FcγRIIA Phenotype by Flow Cytometry

Fresh anticoagulated human peripheral blood was separated by centrifugation through a discontinuous two-step Ficoll-Hypaque gradient (Salmon et al., 1990, *J. Clin. Invest.*, **85**:1287). Polymorphonuclear leukocytes (PMNs) were isolated from the lower interface and washed with HBSS (Gibco Laboratories, Grand Island, NY). Contaminating erythrocytes were lysed with hypotonic saline (0.02% NaCl) for 20 seconds followed by 0.16% NaCl and a final wash with HBSS. Mononuclear cells were isolated from the upper interface and washed with HBSS.

Flow Cytometry: Fresh blood cells were suspended at

concentration of 5×10^5 cells/ml in phosphate-buffered saline (PBS) containing 0.1% (v/v) fetal bovine serum, and were incubated with saturating amounts of murine monoclonal antibody (Mab) 41H.16, which recognizes the HR allele of human FcγRIIA, and Mab IV.3, which recognizes both HR and LR alleles (Gosselin et al., 1990, *J. Immunol.*, **144**:1817). Incubation with the primary antibodies was for 30 minutes at 4°C. After two washes with cold PBS containing 1% (v/v) fetal bovine serum, the cells were incubated with saturating amounts of phycoerythrin (PE)-conjugated goat anti-mouse IgG F(ab')₂ (Tago, Inc., Burlingame, CA) for 30 minutes at 4°C, followed by two washes with cold PBS containing 1% fetal bovine serum. After staining, cell-associated immunofluorescence was quantified using a Cytofluorograf IIS (Becton Dickinson Immunocytometry Systems, Mountain View, CA). For each experiment, the instrument was calibrated with FITC-conjugated calf thymus nuclei (Fluorotrol-GF, Becton, Dickinson and Co., Mountain View, CA) and quantitative PE microbead standards (Flow Cytometry Standards Corp., Research Triangle Park, NC) to allow assessment of both absolute and relative levels of immunofluorescence.

Mitogenesis Assay: Blood cells isolated as described above were suspended at a concentration of 1×10^6 cells/ml in RPMI 1640 medium supplemented with 10% (v/v) fetal bovine serum, glutamine, penicillin, and streptomycin, and aliquoted into 96-well microtiter plates so that each well contained 1×10^5 cells. The following were added to triplicate wells: Antibody OKT3 (IgG2a anti-CD3, 5 μg/ml final concentration), antibody LEU4 (IgG1 anti-CD3, 5 μg/ml final concentration), nonspecific control antibodies, or medium alone. The plates are incubated for 4 days at 37°C. 8 hours prior to the end of the incubation, 2 μCi of ³H-thymidine (Amersham, Arlington Heights, IL) were added to each well, and the incubation continued. Finally, the cells in each well were harvested, washed, and subjected to liquid scintillation counting.

Results: Using the ratio of fluorescence intensity in both monocytes and PMNs, patients having a 41H.16/IV.3 ratio of 0.88-1.1 (n = 8) were assigned a homozygous HR phenotype, those having a ratio of 0.42-0.59 (n = 11) were assigned a heterozygous (i.e. HR/LR) phenotype, and those having a ratio of less than 0.13 (n = 13) were assigned a homozygous LR phenotype.

These assignments were corroborated by proliferation assays with anti-CD3 monoclonal antibodies of both murine IgG1 and IgG2a isotypes. In all cases, the results of the mitogenesis assays were in agreement with the flow cytometry assignment for human FcγRIIA.

5

Example 2: Determination of FcγRIIA Phenotype by DNA Amplification and Sequencing

DNA Isolation: White blood cells were isolated from peripheral blood as described in Example 1. Genomic DNA was isolated from these cells
10 using an automated nucleic acid extractor (Applied Biosystems, Foster City, CA).

PCR Amplification of the DNA Region Encompassing the FcγRIIA polymorphism: Oligonucleotide primers were chosen that distinguish FcγRIIA from the highly homologous FcγRIIB and FcγRIIC genes. A sense primer from the second extracellular domain having the sequence: 5'-
15 CAAGCCTCTGGTCAAGGTC-3' was used, in conjunction with an antisense primer having the sequence 5'-GAAGAGCTGCCCATGCTG-3', which is complementary to the downstream intron in which the sequences of FcγRIIA, RIIB, and RIIC diverge. The PCR product (278 base pairs) thus contains the sequence for codons 121-170 of the distal second extracellular FcγRIIA domain,
20 the splice junction, and the proximal downstream intron.

Typically, 300 ng of genomic DNA is incorporated into a 100 μl reaction containing 200 pmol of each primer, 40 nmol of each deoxynucleotide triphosphate, and 1.7 units of Taq DNA polymerase, in a PCR reaction buffer (50 mM KCl; 10 mM Tris-HCl pH 8.3; 0.001% (w/v) gelatin; 1.5 mM MgCl₂).
25 Thirty cycles of amplification are performed in a DNA Thermal Cycler (Perkin-Elmer Cetus, Norwalk, CT), using the following protocol for each cycle: 94°C, 1 min; 55°C, 2 min; 72°C, 3 min. The resulting amplified products are then analyzed by electrophoresis in 1.5% agarose gels, followed by staining with ethidium bromide, according to standard procedures.

30 ***DNA Sequencing:*** The PCR product described above is isolated from agarose gels using GeneClean II (Bio 101, La Jolla, CA), and subjected to automated DNA sequencing using dye-labelled dideoxynucleotide chain terminators (Applied Biosystems, Foster City, CA). DNA sequences are routinely

determined from both strands, using sense or antisense primers, and reactions are analyzed on a laser-based, fluorescence-emission DNA sequencer (373A, Applied Biosystems.)

5 **Example 3: Determination of FcγRIIA Phenotype by SSCP**

Genomic DNA is isolated from white blood cells as described above. For SSCP analysis, 100 ng of this DNA is amplified as described above, with the following modifications: 100 ng of DNA are amplified in a 100 μl reaction mixture containing 5 pmol of each primer and 25 nmol of each deoxynucleotide triphosphate in the buffer described above. Thirty-eight cycles of amplification are performed, each cycle consisting of: 96°C, 15 sec; 50°C, 30 sec; and 72°C, 1 min.

0.65 μg of PCR product (typically present in 5.4-6.3 μl), were mixed with 10 μl gel loading buffer (95% (v/v) formamide, 0.05% (w/v) xylene cyanol, 20 mM EDTA), heated to 100°C for 10 minutes, and placed immediately on wet ice. All subsequent steps are performed in a cold room at 4°C.

Samples are loaded onto a non-denaturing 8% (w/v) polyacrylamide gel in TBE (92 mM Tris, 95 mM borate, 2.5 mM EDTA) (18 x 24 cm, Hoefer SE 600, San Francisco, CA), with a 37.5:1 ratio of acrylamide:bisacrylamide. The gel apparatus is further cooled by the Hoefer SE 6160 heat exchanger, with a continuous flow of cool water surrounding the electrophoresis chamber. Electrophoresis was performed in a discontinuous buffer 925 mM Tris, 192 mM glycine) at 200 V for 6 hours. Following electrophoresis, DNA was detected by silver-staining of the gels (BioRad.)

For determination of FcγRIIA phenotype, the individual alleles are discriminated by their differential relative migration in the polyacrylamide gel.

Example 4: FcγRIIA is a Heritable Risk Factor for SLE in African-Americans

30 Genomic DNA was obtained from normal and SLE patients, and FcγRIIA-specific DNA amplification was carried out as described in Example 2. The amplified DNA was then separated on a 1% agarose gel and transferred to Hybond-N membranes (Amersham). The membranes were hybridized with

oligonucleotides specific for the HR and LR alleles, i.e.

5'-ATTCTCCCGTTTGGATC-3' (for HR) and 5'-ATTCCTCCCATTGGATC-3' (for LR), which had been 3'-end labelled with digoxigenin-11-ddUTP (Boehringer Mannheim Biochemicals). Blots were prehybridized for 2 hours in 5X SSC, 0.1% N-lauroylsarcosine, 0.02% SDS, 1% Blocking Reagent (Boehringer Mannheim) at 41°C (HR) or 47°C (LR) and then hybridized at the same temperature for 1 hour with the probes dilute in prehybridization solution to a concentration of 2 pmol/ml. Blots were washed twice at room temperature and twice at 42°C. The hybridized oligonucleotides were detected using an alkaline phosphatase-conjugated anti-digoxigenin antibody, which was visualized using a colorimetric substrate system consisting of nitroblue tetrazolium salt (NBT) and 5-bromo-4-chloro-3-indoyl phosphate (Boehringer Mannheim.)

Table 2

	African - American			Caucasian			
	H/H	H/L	L/L	H/H	H/L	L/L	
SLE (253)	37%	47%	16%	25%	53%	22%	SLE (262)
NL (104)	27%	43%	30%	24%	51%	24%	NL (103)

As shown in Table 2, the distribution of FcγRIIA alleles in Caucasian SLE patients was indistinguishable from controls. Notably, however, African-American SLE patients showed significant enrichment for HR homozygosity ($\chi^2 = 9.7$, $p < 0.009$ (2x3 table); odds ratio for SLE in non-LR homozygotes = 2.26 (95% CL: 1.27 and 4.01)). This increase in homozygosity suggests that the presence of the HR allele is a novel risk factor contributing to SLE diathesis in African-Americans.

Example 5: FcγRIIA-HR Is Enriched in Patients with Renal Disease

Genomic DNA was isolated and amplified as described in Example 2, and subjected to hybridization with HR- and LR- specific oligonucleotides as in Example 4. As shown in Table 3 below, African-American SLE patients with nephritis exhibit a significantly higher proportion of HR/HR homozygosity than matched controls.

Table 3

	HR/HR	HR/LR	LR/LR
SLE (nephritis) (115)	38%	50%	11%
Disease-free (104)	27%	43%	30%

($\chi^2 = 12.4$, $p < 0.003$ (2x3 table); odds ratio for SLE nephritis in non-LR homozygotes: 3.33 (95% CL: 1.55 and 7.25)

Example 6: FcγRIIIB Alleles are Significantly Skewed in Wegener's Granulomatosis

Genomic DNA was obtained from normal individuals and those suffering from Wegener's granulomatosis. Amplification of FCγRIIIB DNA was carried out essentially as described in Example 2, using the following oligonucleotides as primers:

5'-GTGTTCTGAGCCTCAATG-3' ("sense" primer) and 5'-ATGGACTTCTAGCTGCACC-3' ("antisense" primer). Alternatively, amplification is carried out using 5'-GTGTTCTGAGCCTCAATG-3' as the "sense" primer and 5'-GGACCACACATCATCTCATC-3' as the "antisense" primer.

The amplified DNAs were then divided into five aliquots, which were bound to Hybond-N membranes (Amersham) in a "dot-blot" configuration. The membranes were then hybridized with oligonucleotides specific for the NA1 and NA2 alleles which were 3' end-labelled with digoxigenin-11-ddUTP (Boehringer Mannheim Biochemicals) prior to use. Hybridization was carried out as described in Example 4. The probes were as follows:

Probe #1: 5'-ATGGTACAGCGTGCTTGAGA-3'

Probe #2: 5'-CACAATGAGAACCTCATCTC-3'

Probe #3: 5'-CTGCCACAGTCAACGACAGT-3'

Probe #4: 5'-AGAAGTCCATGTCCGGTGAGT-3'

Probe #5: 5'-AGTGTGACTCTGAAGTGCCA-3'

Probes #1 and #3 are specific for the NA2 allele, while probes #2 and #4 are specific for the NA1 allele. (Probe #5 reacts with human DNA irrespective of FcγRIIIB genotype.) Thus, if a positive signal was obtained only with probes #1 and #3, the individual was considered to be an NA1 homozygote; similarly, if a positive signal was obtained only with probes #2 and #4, the individual was considered to be an NA2 homozygote. Hybridization with probes #1-4 indicated that the individual was heterozygous for NA1 and NA2.

As shown in Table 4, the distribution of FcγRIIIB alleles is skewed in the Wegener's group. Enrichment for the NA1 allele (higher net function) and under-representation of the NA2 allele are evident in the WG group compared to normals (2x3 chi-square: $p < 0.003$; 2x2 chi-square for allele frequency: $p < 0.006$).

TABLE 4

	NA1/NA1	NA1/NA2	NA2/NA2
Normals (N = 65)	10 (15%)	30 (46%)	25 (38%)
Wegeners (N = 38)	16 (42%)	17 (45%)	5 (13%)

These results suggest that FcγRIIIB may play an important role in triggering polymorphonuclear leukocytes (PMNs) for tissue injury in Wegener's granulomatosis. Without wishing to be bound by theory, it is contemplated that screening of Wegener's patients for FcγRIIIB phenotype, in conjunction with monitoring of ANCA titers, will provide a sensitive prognosticator of incipient flare-up of disease symptoms.

What we claim is:

- 1 1. A diagnostic method for determining predisposition to severe
2 forms of autoimmune disease in a patient, comprising
3 (i) identifying the Fc γ receptor allelic pattern of said
4 patient;
5 (ii) comparing said allelic pattern with the corresponding
6 allelic patterns of humans with no autoimmune disease, mild autoimmune
7 disease, and severe autoimmune disease; and
8 (iii) determining which of said corresponding allelic
9 patterns is most similar to the allelic pattern of said patient.
- 1 2. The method of claim 1, wherein said autoimmune disease is
2 a member selected from the group consisting of systemic lupus erythematosus,
3 systemic vasculitides, Sjogren's syndrome, mixed connective tissue disease,
4 rheumatoid arthritis, and glomerulonephritis.
- 1 3. The method of claim 1, wherein said severe autoimmune
2 disease is characterized by symptoms selected from the group consisting of
3 nephritis, vasculitis, and lung disease.
- 1 4. The method of claim 1, which comprises identifying the
2 alleles of Fc γ RIIA of said patient.
- 1 5. The method of claim 4, wherein said Fc γ RIIA alleles comprise
2 the HR and LR alleles.
- 1 6. The method of claim 1, wherein said identifying comprises
2 the steps of:
3 (a) obtaining white blood cells from said human;
4 (b) contacting said cells with antibodies specific for
5 different allelic forms of the Fc γ receptor protein; and
6 (c) determining which of said antibodies binds specifically
7 to said cells.

1 7. The method of claim 6, wherein said determining step
2 comprises quantitative flow cytometry.

1 8. The method of claim 6, wherein said determining step
2 comprises enzyme-linked immunoassay.

1 9. The method of claim 1, wherein said identifying comprises
2 the steps of:

- 3 (a) obtaining DNA from said human; and
4 (b) determining the sequence of polymorphic regions of
5 genes encoding Fcγ receptors contained within said DNA.

1 10. The method of claim 9, further comprising amplifying said
2 FcγRIIA genes prior to said sequencing step.

1 11. The method of claim 1, wherein said identifying comprises
2 the steps of identifying the oligonucleotides that hybridize specifically with said
3 DNA.

- 4 (a) obtaining DNA from said human;
5 (b) amplifying regions of said DNA containing said Fcγ
6 receptor genes or fragments thereof;
7 (c) hybridizing said amplified DNA with one or more allele-
8 specific oligonucleotides; and
9 (d) identifying the oligonucleotides that hybridize
0 specifically with said DNA.

1 12. The method of claim 1, wherein said identifying comprises
2 the steps of:

- 3 (a) obtaining white blood cells from said human;
4 (b) isolating RNA from said cells;
5 (c) subjecting said RNA to coupled reverse transcription
6 and amplification specified by Fcγ receptor allele-specific oligonucleotide primers,
/ to produce Fcγ receptor-encoding DNA; and

8 (d) determining the sequence of said DNA.

1 13. A diagnostic method for determining predisposition to severe
2 forms of systemic lupus erythematosus (SLE) in patients suffering from SLE,
3 comprising

4 (i) obtaining DNA samples from said patients;

5 (ii) amplifying the regions of said DNA samples containing
6 FcγRIIA genes;

7 (iii) individually hybridizing parallel samples of said
8 amplified DNAs with oligonucleotides specific for the HR and LR alleles of said
9 FcγRIIA genes; and

10 (iv) identifying from among said DNA samples those
11 homozygous for said FcγRIIA HR allele.

1 14. A diagnostic method for determining predisposition in a
2 human to infection by encapsulated bacteria, comprising identifying the alleles
3 of the genes encoding FcγRIIA present in the DNA of said human.

1 15. The method of claim 14, wherein said bacteria are selected
2 from the group consisting of *Haemophilus influenzae*, *Neisseria meningitidis*, and
3 *Streptococcus pneumoniae*.

4 16. The method of claim 2, wherein said systemic vasculitis
5 comprises Wegener's granulomatosis.

1 17. The method of claim 4, wherein said FcγRIIB alleles comprise
2 the NA1 and NA2 alleles.

3 18. A diagnostic method for determining predisposition to severe
4 forms of Wegener's granulomatosis in patients suffering from Wegener's
5 granulomatosis, comprising

6 (i) obtaining DNA samples from said patients;

7 (ii) amplifying the regions of said DNA samples containing

8 FcγRIIIB genes;

9 (iii) individually hybridizing parallel samples of said
0 amplified DNAs with oligonucleotides specific for the NA1 and NA2 alleles of
1 said FcγRIIIB genes; and

2 (iv) identifying the DNA samples that are homozygous for
3 said FcγRIIIB NA1 allele.

1 19. The method of claim 12, further comprising monitoring the
2 titer of anti-neutrophil cytoplasmic antibodies in said patients.

1 20. The method of claim 12, further comprising identifying the
2 alleles of FcγRIIA of said patient.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US95/11711

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : C12Q 1/68, 1/70

US CL : 435/6, 91.2

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/6, 91.2, 7.2, 7.24

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	CLINICAL AND EXPERIMENTAL IMMUNOLOGY, Volume 94, issued 1993, A. M. Blasini et al., "Increased proportion of responders to a murine anti-CD3 monoclonal antibody of the IgG1 class in patients with systemic lupus erythematosus (SLE)", pages 423-428, see entire document.	1-13, 16-20
Y	JOURNAL OF CLINICAL INVESTIGATIONS, Volume 89, issued April 1992, J.E. Salmon et al., "Allelic polymorphisms of human Fcγ receptor IIA and Fcγ receptor IIB", pages 1274-1281, see entire document.	6-7



Further documents are listed in the continuation of Box C.



See patent family annex.

Special categories of cited documents:		"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance		
"E"	earlier document published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O"	document referring to an oral disclosure, use, exhibition or other means		
"P"	document published prior to the international filing date but later than the priority date claimed	"&"	document member of the same patent family

Date of the actual completion of the international search

28 NOVEMBER 1995

Date of mailing of the international search report

11 DEC 1995

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INTERNATIONAL SEARCH REPORT

International application No.

PCT/US95/11711

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	J.T. BARRETT, "TEXTBOOK OF IMMUNOLOGY, AN INTRODUCTION TO IMMUNOCHEMISTRY AND IMMUNOBIOLOGY", published 1983 by C.V. Mosby Company (St. Louis, MO), pages 277-281, see entire document.	8
Y	W. K. JOKLIK et al., "ZINSSER MICROBIOLOGY", 18th ed., published 1984 by Appleton-Century-Crofts (Norwalk, Conn.), page 481, see entire document.	14-15
Y	ANNALS OF INTERNAL MEDICINE, Volume 119, No. 7, issued 01 October 1993, C.A.P. Fijen et al., "Polymorphism of IgG Fc Receptors in Meningococcal Disease", page 636, see entire document.	14-15
Y	JOURNAL OF IMMUNOLOGY, Volume 151, No. 3, issued August 1993, R. G. M. Bredius et al., "Phagocytosis of <i>Staphylococcus aureus</i> and <i>Haemophilus influenzae</i> Type B opsonized with polyclonal human IgG1 and IgG2 antibodies", pages 1463-1472, see entire document.	14-15
Y	EUROPEAN JOURNAL OF IMMUNOLOGY, Volume 21, issued 1991, M.R. Clark et al., "A single amino acid distinguishes the high-responder from the low responder form of Fc receptor II on human monocytes", pages 1911-1916, see entire document.	9-13

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US95/11711

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

APS, CAS, CAPLUS, CAPREVIEWS, MEDLINE, EMBASE, BIOSIS, WPIDS

search terms: autoimmune disease, Fc gamma receptor, RIIA, RIIIB, encapsulated bacteria, H. influenzae and S. pneumoniae, Wegener's disease